

# Computation of Universal Deformation Rings

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# Notation

- $p$  rational prime;
- $k$  finite field of characteristic  $p$ ;
- $Ar$  category of local artinian complete  $W(k)$ -algebras  $A$  with surjective homomorphism  $\pi_A : A \rightarrow k$  and maximal ideal  $m_A$ ;
- $\hat{A}r$  category of local noetherian complete  $W(k)$ -algebras  $A$  with surjective homomorphism  $\pi_A : A \rightarrow k$  and maximal ideal  $m_A$ ;
- $S$  finite set of primes of  $\mathbb{Q}$  including  $p$  and the infinite archimedean prime;
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# Deformations

Let  $\bar{\rho} : G_S \rightarrow GL_n(k)$  be a Galois representation and  $A \in Ar$ . A **lift** of  $\bar{\rho}$  to  $A$  is a representation  $\rho_A : G_S \rightarrow GL_n(A)$  such that the diagram

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commutes.

We say that two lifts  $\rho_1, \rho_2$  of  $\bar{\rho}$  to  $A$  are equivalent if there exists  $M \in \text{Ker}(\pi_A)$  such that  $M\rho_1(g)M^{-1} = \rho_2(g)$ .

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## Theorem (Mazur)

Suppose that the centralizer of the image of  $\bar{\rho}$  is given by the set of scalar matrices. Then there exists a ring  $R \in \hat{A}r$  and a deformation  $\rho_{univ} : G_S \rightarrow GL_n(R)$  such that, for every ring  $A \in Ar$  and every deformation  $\rho_A : G_S \rightarrow GL_n(A)$ , there is a unique homomorphism  $h : R \rightarrow A$  that makes the following diagram commute:

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$R$  is called the **universal deformation ring** associated to  $\bar{\rho}$ .

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Let  $V_{\bar{\rho}}$  be the  $k[G]$ -module associated to  $\bar{\rho}$ .

### Definition

Let  $A \in \text{Ar}$ . A **deformation** of  $V_{\bar{\rho}}$  to  $A$  is a pair  $(V_A, \iota_A)$ , where

- $V_A$  is a free  $A[G]$ -module provided with a  $G$ -continuous action;
- $\iota_A : V_A \otimes_A k \simeq V_{\bar{\rho}}$ .

### Definition

Let  $\beta$  be a  $k$ -basis of  $V_{\bar{\rho}}$ . A **framed deformation** of the pair  $(V_{\bar{\rho}}, \beta)$  to  $A$  is a triple  $(V_A, \iota_A, \beta_A)$ , where

- $(V_A, \iota_A)$  is a deformation of  $V_{\bar{\rho}}$  to  $A$ ;
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# Deformation functors

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The **deformation functor**  $F_{\bar{\rho}} : Ar \rightarrow Sets$  attached to  $\bar{\rho}$  is defined as

$$F_{\bar{\rho}}(A) = \{\text{deformations of } \bar{\rho} \text{ to } A\} \quad (1)$$

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# Representability

## Theorem (Mazur)

- $F_{\bar{\rho}}^{\square}$  is pro-representable, that is, there exists  $R^{\square} = R_{\bar{\rho}}^{\square} \in \hat{\mathcal{A}}r$  such that

$$F_{\bar{\rho}}^{\square}(A) = \text{Hom}_{W(k)}(R^{\square}, A); \quad (3)$$

- If  $\text{End}_G(V_{\bar{\rho}}) = k$ , then  $F_{\bar{\rho}}$  is pro-representable by a ring  $R = R_{\bar{\rho}} \in \hat{\mathcal{A}}r$ .

The representing algebras  $R$  and  $R^{\square}$  are called the **universal deformation ring** and the **universal framed deformation ring** attached to  $\bar{\rho}$  respectively.

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# First example

Let  $p = 3$  and  $S = \{3, 7, \infty\}$  and consider the representation

$$\bar{\rho} : G \rightarrow GL_2(\mathbb{F}_3) \quad (4)$$

given by the 3-division points of the modular curve  $X_0(49)$ .

Then  $R_{\bar{\rho}} \simeq \mathbb{Z}_3[[x_1, x_2, x_3, x_4]] / ((1 + x_4)^3 - 1)$ .

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Question: How to compute  $R$  in general case?

In 1995 Faltings has described a method to compute a presentation of  $R$  of the form

$$W(k)[[X_1, \dots, X_r]]/(f_1, \dots, f_t). \quad (5)$$

This presentation is very far from minimal.

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# The tangent space

Let  $\epsilon$  be an element such that  $\epsilon^2 = 0$ . Then we can define the following.

## Definition

Let  $F_{\bar{\rho}}$  be a deformation functor. The **tangent space** of  $F_{\bar{\rho}}$  is the set

$$F_{\bar{\rho}}(k[\epsilon]). \quad (6)$$

It has a natural structure of  $k$ -vector space.

## Lemma

$$F_{\bar{\rho}}(k[\epsilon]) \cong H^1(G, \text{Ad}(\bar{\rho})) \cong \text{Ext}_{k[G]}^1(V_{\bar{\rho}}, V_{\bar{\rho}});$$

$$\dim_k F_{\bar{\rho}}(k[\epsilon]) = \dim_k F_{\bar{\rho}}(k[\epsilon]) + \dim_k \text{ad}(\bar{\rho}) = \dim_k H^1(G, \text{Ad}(\bar{\rho})).$$

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- $F_{\bar{\rho}}(k[\epsilon]) \simeq H^1(G, Ad(\bar{\rho})) \simeq Ext_{k[G]}^1(V_{\bar{\rho}}, V_{\bar{\rho}});$
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# Deformation conditions

Let  $\mathfrak{F}$  be the category of pairs  $(A, V_A)$  with  $A \in \text{Ar}$  and  $V_A \in F_{\bar{\rho}}(A)$ . Let  $\mathfrak{D}$  be a full subcategory of  $\mathfrak{F}$  such that

- if  $(A, V_A) \rightarrow (B, V_B)$  is a morphism in  $\mathfrak{F}$  and  $(A, V_A) \in \mathfrak{D}$ , then  $(B, V_B) \in \mathfrak{D}$ .
- $(A \times_C B, V) \in \mathfrak{D} \iff (A, V_A), (B, V_B) \in \mathfrak{D}$ .
- if  $(A, V_A) \rightarrow (B, V_B)$  is a monomorphism in  $\mathfrak{F}$  and  $(B, V_B) \in \mathfrak{D}$ , then  $(A, V_A) \in \mathfrak{D}$ .

We say that  $\mathfrak{D}$  is a **deformation condition** for the functor  $F_{\bar{\rho}}$ .

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Let  $\mathfrak{P}$  be the category of pairs  $(A, V_A)$  with  $A \in \text{Ar}$  and  $V_A \in F_{\bar{\rho}}(A)$ . Let  $\mathfrak{D}$  be a full subcategory of  $\mathfrak{P}$  such that

- if  $(A, V_A) \rightarrow (B, V_B)$  is a morphism in  $\mathfrak{P}$  and  $(A, V_A) \in \mathfrak{D}$ , then  $(B, V_B) \in \mathfrak{D}$ .
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$$F_{\mathfrak{D}}(A) = \{\text{deformations } V_A \text{ such that } (A, V_A) \in \mathfrak{D}\} \quad (7)$$

### Lemma

*If  $F_{\bar{\rho}}$  is representable, then  $F_{\mathfrak{D}}$  is represented by a quotient  $R_{\mathfrak{D}}$  of  $R$  and the tangent space  $F_{\mathfrak{D}}(k[\epsilon])$  is a  $k$ -vector subspace of  $F_{\bar{\rho}}(k[\epsilon])$ .*

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Let  $\Sigma \subseteq S$ . For every prime  $\ell \in \Sigma$  we consider the following:

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We consider the subcategory  $\mathfrak{D}$  of pairs  $(A, V_A)$  such that  $(A, V_A|_{G_\ell}) \in \mathfrak{D}_\ell$  for every  $\ell \in \Sigma$ . Then  $\mathfrak{D}$  is a deformation condition and we call it a **global Galois deformation condition**.

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# Minimal ramification

Let  $\ell \in \Sigma$  be a prime different from the residual characteristic  $p$  and suppose that

$$\bar{\rho}_\ell(l_\ell) \simeq \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}. \quad (8)$$

Let  $\rho_A$  be a deformation of  $\bar{\rho}$  to  $A$ . We say that  $\rho$  is **minimally ramified at  $\ell$**  if

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## Lemma

*Being minimally ramified is a deformation condition  $\mathfrak{D}$ . Moreover*

$$F_{\mathfrak{D}}(k[\epsilon]) = H^1(G_{\mathbb{F}_\ell}, \text{Ad}(\bar{\rho})^{\ell}). \quad (10)$$

# Flatness

Let  $\rho_\ell$  be a deformation of  $\bar{\rho}_\ell$ . We say that  $\rho_\ell$  is **finite flat** (or simply **flat**) if the representation module  $V_{\rho_\ell}$ , viewed as a finite abelian group with  $G_\ell$ -action, is the  $\mathbb{Q}_\ell$ -module of  $\bar{\mathbb{Q}}_\ell$ -points of a finite flat group scheme  $M$  over  $\text{Spec}(\mathbb{Z}_\ell)$ .

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# Minimality

## Definition

A Galois deformation condition  $\mathfrak{D} = \{\mathfrak{D}_\ell\}$  is called **minimal** if

- $\Sigma = S$ ;
- $\mathfrak{D}_p$  is the flatness condition;
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# Kisin's theorem

Suppose that  $F_{\bar{\rho}}$  and all of the local functors  $F_{\bar{\rho}_\ell}$  are representable.  
Set

$$R_{loc} = \hat{\otimes}_{\ell \in \Sigma} R_\ell. \quad (11)$$

Moreover let

$$\theta_i : H^i(G, Ad(\bar{\rho})) \rightarrow \prod_{\ell \in \Sigma} H^i(G_\ell, Ad(\bar{\rho})) \quad (12)$$

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# $R = T$ theorems

Suppose that  $\bar{\rho}$  is **modular**, that is, it comes from the reduction mod  $p$  of a  $p$ -adic modular form  $f$ . Let  $\mathfrak{D}$  be a deformation condition for  $\bar{\rho}$ .

Let  $\mathbb{S}_{\mathfrak{D}}$  be the subspace of cusp forms  $g$  such that the  $p$ -adic representation associated is a deformation of  $\bar{\rho}$  of type  $\mathfrak{D}$ . Let  $\mathbb{T}_{\mathfrak{D}}$  be the  $p$ -adic completion of the Hecke algebra associated to  $\mathbb{S}_{\mathfrak{D}}$ .

## Theorem (Taylor-Wiles)

*If  $\mathfrak{D}$  is a minimal condition, then the functorial map*

$$R_{\mathfrak{D}} \rightarrow \mathbb{T}_{\mathfrak{D}} \quad (14)$$

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Let  $E$  be the elliptic curve given by Weierstrass equation

$$Y^2 + XY = X^3 - X^2 - X - 3 \quad (15)$$

This is curve 142 C 1 in J. Cremona's Tables. It has conductor 142. We take  $p = 3$  and  $S = \Sigma = \{3, 71\}$ . Let

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Let  $\mathfrak{D}$  be the resulting deformation condition.

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*The ring  $\mathbb{T}$  of Hecke operators on cusp forms of weight  $k$  and level  $N$  is generated as an abelian group by the operators  $T_n$  with*

$$n \leq \frac{kN}{12} \prod_{p|N} \left(1 + \frac{1}{p}\right). \quad (17)$$

Then we only need to compute the vectors  $T_n = (a_n(f))_f$  with  $n \leq 12$  and  $f$  running over the normalised 3-adic eigenforms with Fourier coefficients equal to the ones of  $\bar{\rho}$ .

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$n$	$a_n(f_{71})$	$a_n(g_{71})$
1	1	1
2	$u$	$3 - u - u^2$
3	$3 - u^2$	$-3 + u + u^2$
4	$-2 + u^2$	$1 + u$
5	$-1 - u$	$5 - 2u - u^2$
6	$3 - 2u$	$-3 - u$
7	$-6 + 2u + 2u^2$	$-6 + 2u + 2u^2$
8	$-3 + u$	$-u$
9	$6 - 3u - u^2$	$u$
10	$-u - u^2$	$6 + u - u^2$
11	$6 - 2u - 2u^2$	$2u$
12	$-6 + 3u$	$-6 + 3u - 2u^2$

where  $u$  is the unique root of the polynomial  $X^3 - 5X + 3$  in  $\mathbb{Z}_3[X]$ .

Using the approximation  $u \equiv 60 \pmod{81}$ , we see that  $T_{\mathfrak{D}}$  is generated as a  $\mathbb{Z}_3$ -module by  $1 = (1, 1)$  and  $x = (0, 9)$ . Then we can conclude

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$F_{\mathfrak{D}}$  is representable and  $R_{\mathfrak{D}} \simeq \mathbb{Z}_3[[X]]/(X^2 - 9X)$ .

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# A non-minimal example

Consider the previous example but taking  $S = \{2, 3, 71\}$ .

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Then  $R_{\mathfrak{D}} \simeq \mathbb{Z}_3[[X, Y]]/(f_1, f_2)$ , where

$$\begin{aligned} f_1 &= 29412Y - 9804Y^2 - 91158XY - 1641XY^2 + \\ &11618X^2Y - 787(X^3 - 15X^2 + 54X), \end{aligned}$$

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- $f_1 = 29412Y - 9804Y^2 - 91158XY - 1641XY^2 + 11618X^2Y - 787(X^3 - 15X^2 + 54X)$ ;
- $f_2 = 8514Y - 477Y^2 - 8204XY + 1741XY^2 + 2369X^2Y - 787X^3$ .

# A non-minimal example

Consider the previous example but taking  $S = \{2, 3, 71\}$ .

## Theorem (Lario-Schoof)

Then  $R_{\mathfrak{D}} \simeq \mathbb{Z}_3[[X, Y]]/(f_1, f_2)$ , where

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# Conclusion

$R = T$  theorems:

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